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# Introduction to Signal and Image Processing

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# Signal Processing

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- Humans are the most advanced signal processors
  - speech and pattern recognition, speech synthesis,...
- We encounter many types of signals in various applications
  - Electrical signals: voltage, current, magnetic and electric fields,...
  - Mechanical signals: velocity, force, displacement,...
  - Acoustic signals: sound, vibration,...
  - Other signals: pressure, temperature,...
- Most real-world signals are analog
  - They are continuous in time and amplitude
  - Convert to voltage or currents using sensors and transducers
- Analog circuits process these signals using
  - Resistors, Capacitors, Inductors, Amplifiers,...
- Analog signal processing examples
  - Audio processing in FM radios
  - Video processing in traditional TV sets

# Why we need in research

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- We measure parameters for a time duration
  - We can consider it a signal  $f(t)$
- We work with images or videos
  - We can consider it a signal  $f(x,y)$  or  $f(x,y,t)$
- We need to find
  - Correlation
  - Outlier
  - Sudden change in signal
  - Repeating pattern and so on

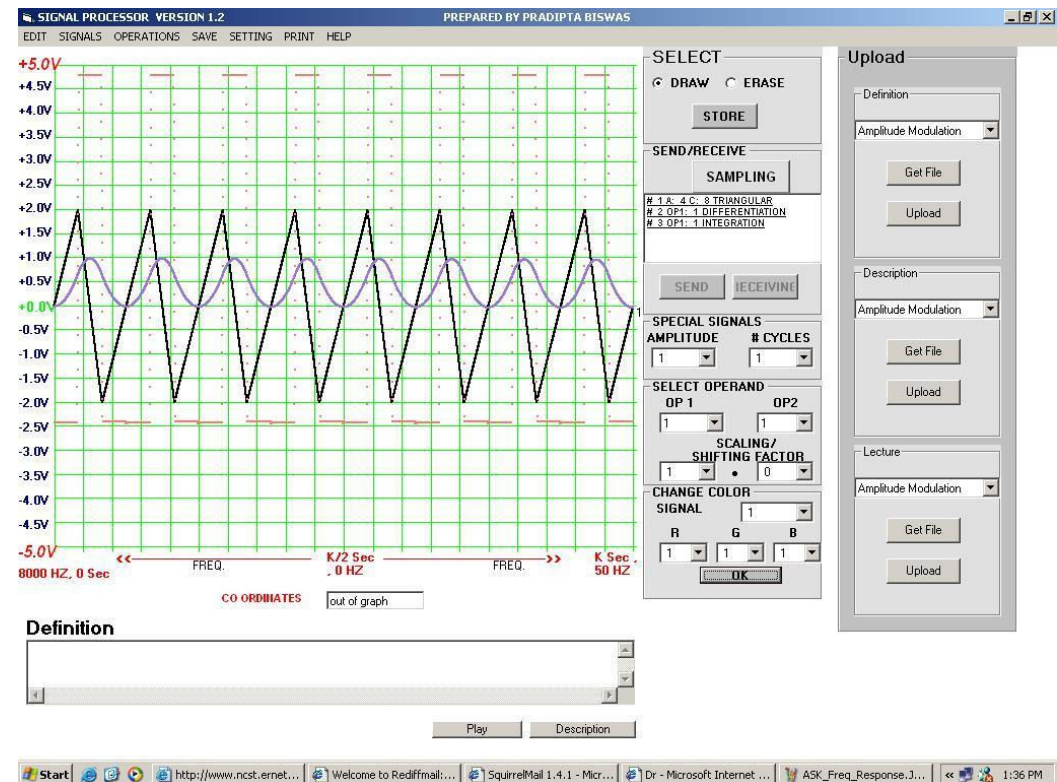
# Contents

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- Analog and Digital Signal
- Basic Signal Operations
- Digital Signal Processing
- Time and Frequency Domain Representations
- Filtering Techniques
- Masking

# Basic Signal Operations

- Arithmetic operations
- Differentiation / Integration
- Time shifting / scaling



# Limitations of Analog Signal Processing

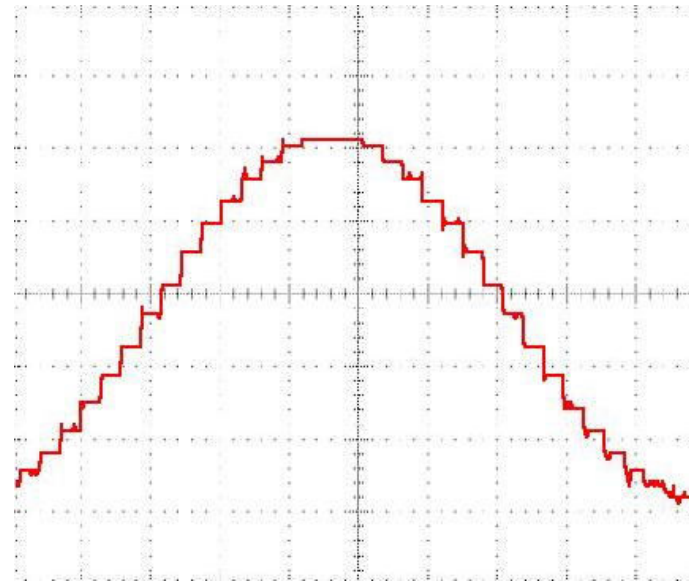
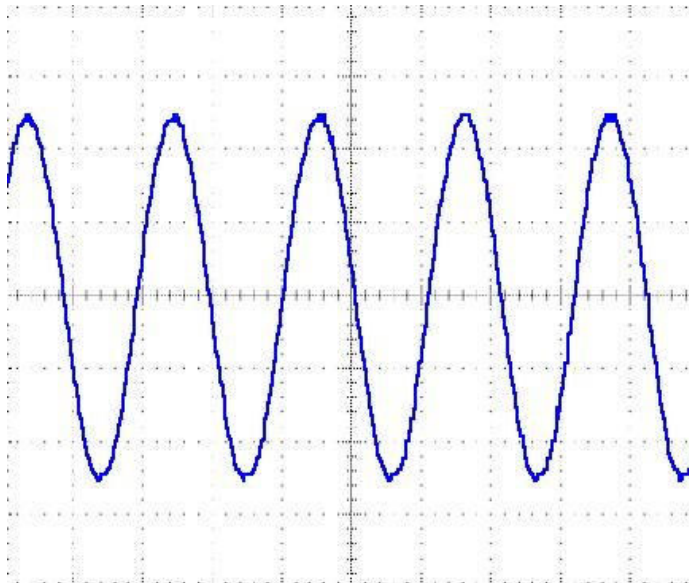
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- Accuracy limitations due to
  - Component tolerances
  - Undesired nonlinearities
- Limited repeatability due to
  - Tolerances
  - Changes in environmental conditions
    - Temperature
    - Vibration
- Sensitivity to electrical noise
- Limited dynamic range for voltage and currents
- Inflexibility to changes
- Difficulty of implementing certain operations
  - Nonlinear operations
  - Time-varying operations
- Difficulty of storing information

# Digital Signal Processing

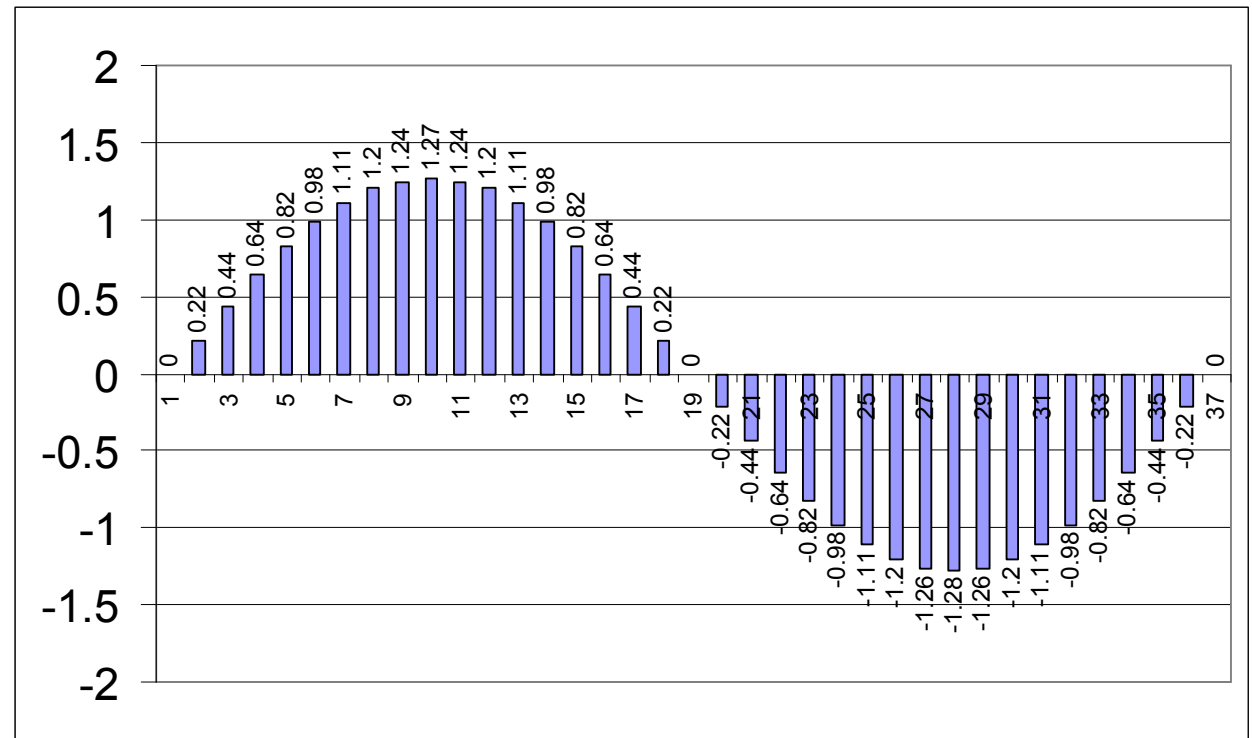
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- Converting a continuously changing waveform (analog) into a series of discrete levels (digital)



# What is DSP?

- The analog waveform is sliced into equal segments and the waveform amplitude is measured in the middle of each segment
- The collection of measurements make up the digital representation of the waveform





# Sampling

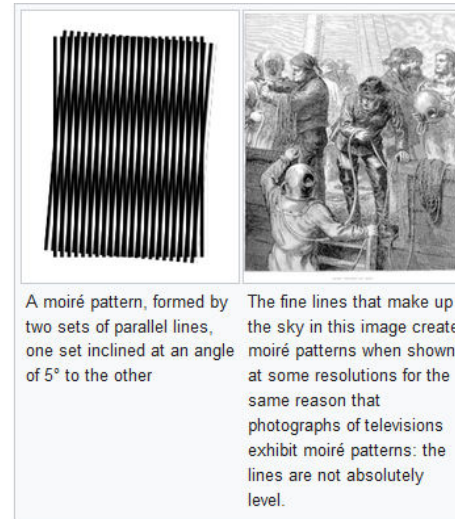
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- Sampling is a process of converting a signal (for example, a function of continuous time and/or space) into a numeric sequence (a function of discrete time and/or space)
- If a function  $x(t)$  contains no frequencies higher than  $B$  hertz, it is completely determined by giving its ordinates at a series of points spaced  $1/(2B)$  seconds apart
- A sufficient sample-rate is therefore  $2B$  samples/second, or anything larger. Equivalently, for a given sample rate  $f_s$ , perfect reconstruction is guaranteed possible for a bandlimit  $B < f_s/2$
- The two thresholds,  $2B$  and  $f_s/2$  are respectively called the Nyquist rate and Nyquist frequency

# Aliasing

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- Aliasing is an effect that causes different signals to become indistinguishable (or aliases of one another) when sampled
- If the sampling rate is  $B$  Hz, any frequency over  $B/2$  HZ in the original signal will create aliasing effect
- Aliasing causes
  - Distortion in audio signal
  - Moiré patterns in digital image
  - Wagon wheel effect in video



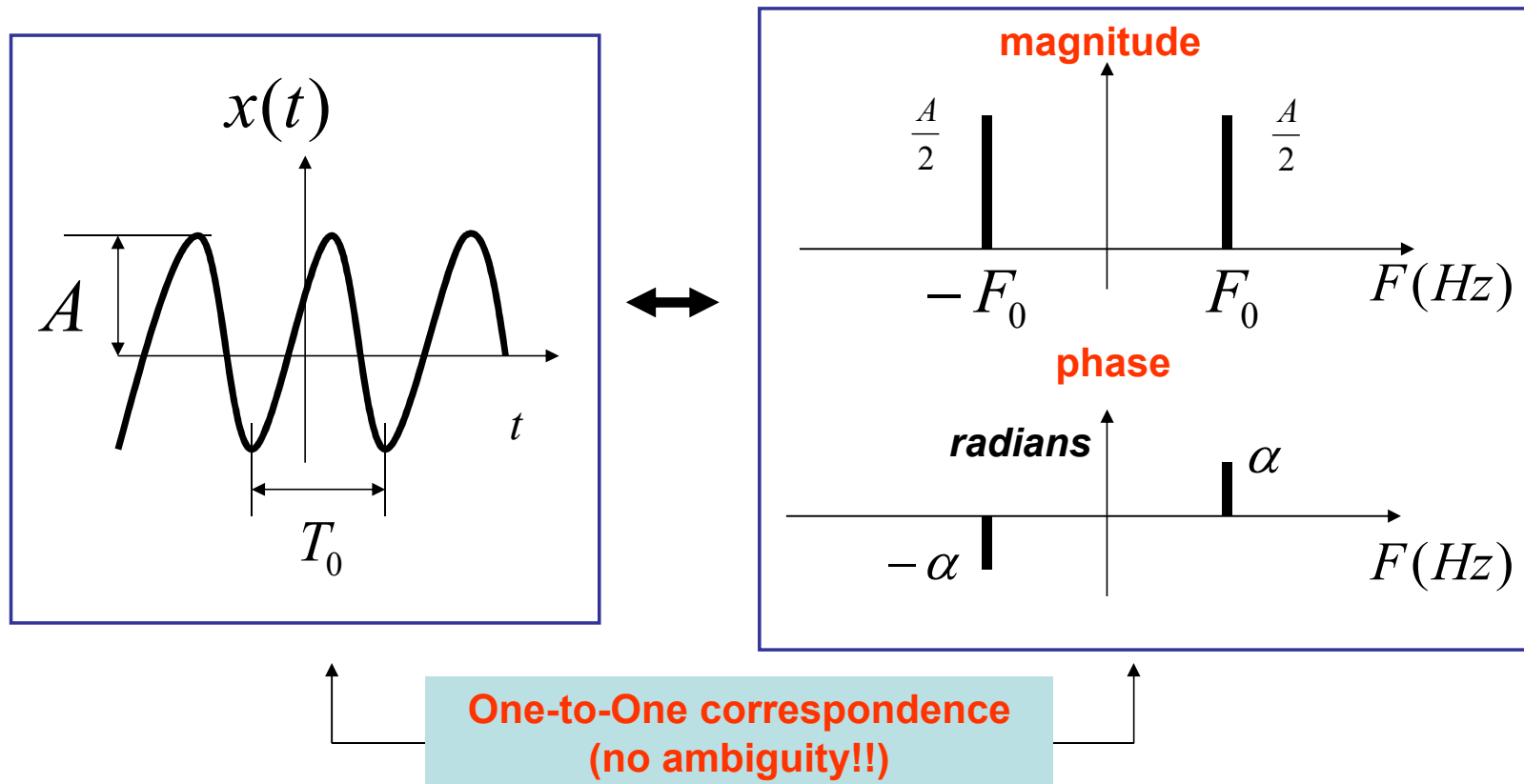
## Resolution Trade-offs

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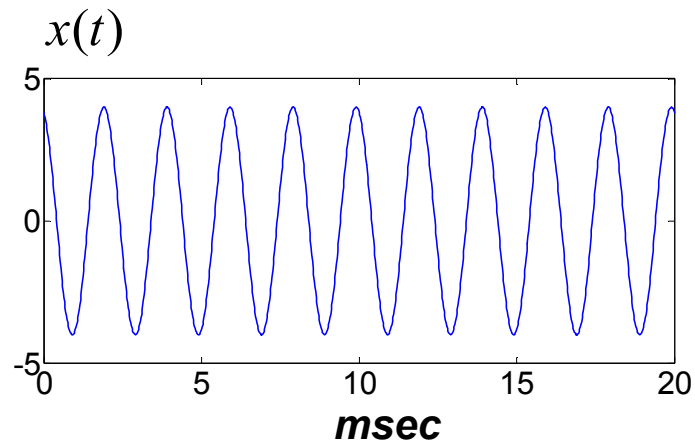
Bit Resolution	High Bit Count	Good Duplication	Slow
	Low Bit Count	Poor Duplication	Fast
Sample Rate	High Sample Rate	Good Duplication	Slow
	Low Sample Rate	Poor Duplication	Fast

# Continuous Time and Frequency Domain

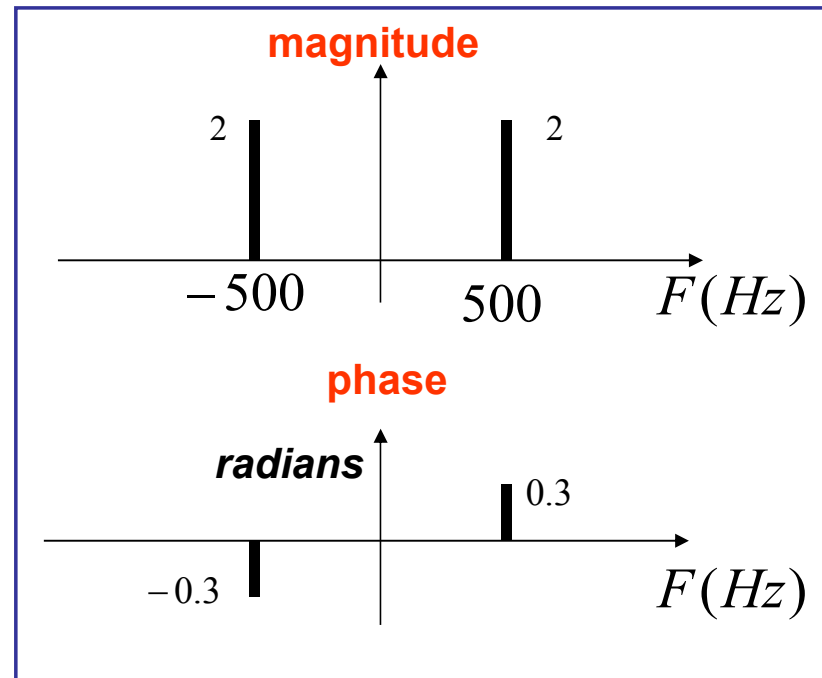
In continuous time, there is a one to one correspondence between a sinusoid and its frequency domain representation:



# Example



**Given this sinusoid, its frequency, amplitude and phase are unique**



# Jean Baptiste Joseph Fourier

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Fourier was born in Auxerre,  
France in 1768

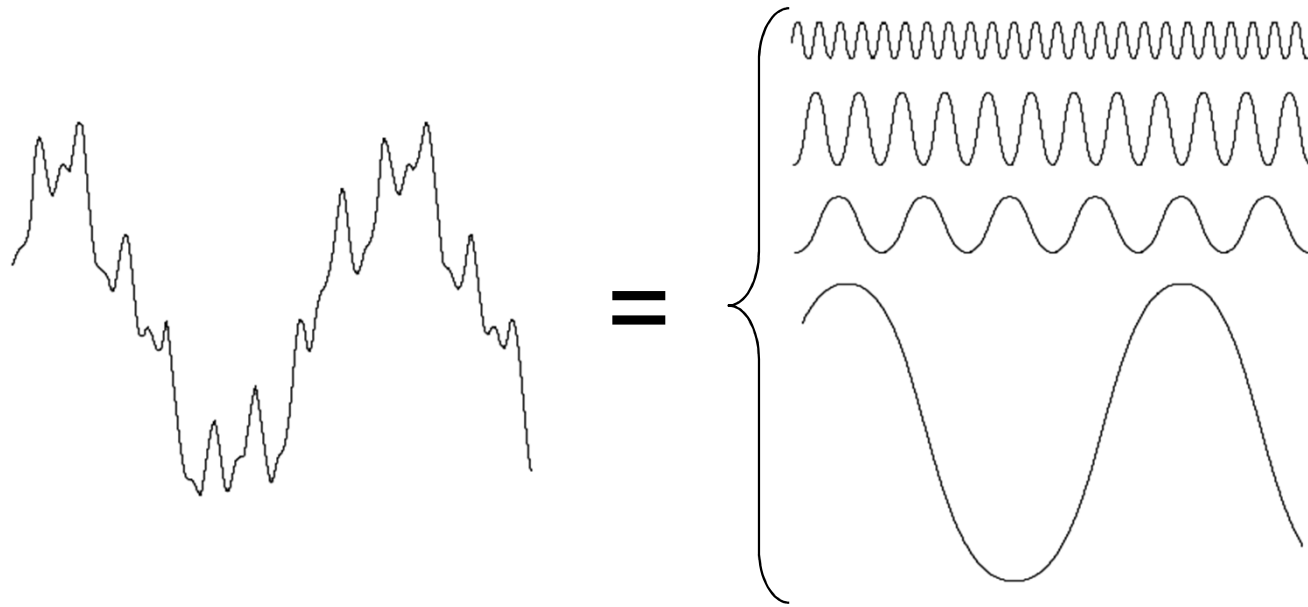
- Most famous for his work “*La Théorie Analitique de la Chaleur*” published in 1822
- Translated into English in 1878: “*The Analytic Theory of Heat*”

Nobody paid much attention when the work was first published

One of the most important mathematical theories in modern engineering

# The Big Idea

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Any function that periodically repeats itself can be expressed as a sum of sines and cosines of different frequencies each multiplied by a different coefficient – a *Fourier series*

# The Discrete Fourier Transform (DFT)

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The *Discrete Fourier Transform* of  $f(x, y)$ , for  $x = 0, 1, 2 \dots M-1$  and  $y = 0, 1, 2 \dots N-1$ , denoted by  $F(u, v)$ , is given by the equation:

$$F(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) e^{-j2\pi(ux/M + vy/N)}$$

for  $u = 0, 1, 2 \dots M-1$  and  $v = 0, 1, 2 \dots N-1$ .



# The Inverse DFT

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It is really important to note that the Fourier transform is completely **reversible**

The inverse DFT is given by:

$$f(x, y) = \frac{1}{MN} \sum_{u=0}^{M-1} \sum_{v=0}^{N-1} F(u, v) e^{j2\pi(ux/M + vy/N)}$$

for  $x = 0, 1, 2 \dots M-1$  and  $y = 0, 1, 2 \dots N-1$

# Laplace Transform

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The Laplace Transform of a function,  $f(t)$ , is defined as;

$$L[f(t)] = F(s) = \int_0^{\infty} f(t) e^{-st} dt$$

The Inverse Laplace Transform is defined by

$$L^{-1}[F(s)] = f(t) = \frac{1}{2\pi j} \int_{\sigma - j\infty}^{\sigma + j\infty} F(s) e^{ts} ds$$

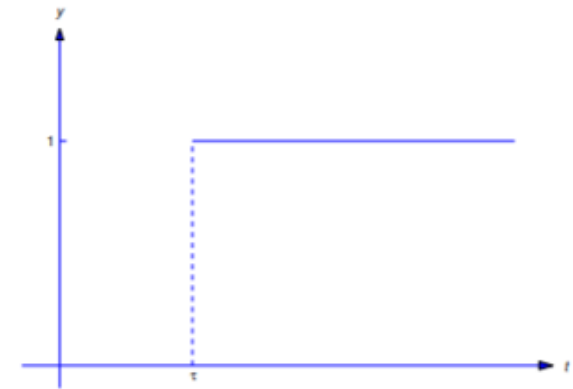
# Laplace Transform – Unit Step

Laplace Transform of the unit step.

$$u(t) = \begin{cases} 0, & t < 0 \\ 1, & t \geq 0 \end{cases}$$

$$L[u(t)] = \int_0^{\infty} 1e^{-st} dt = \left. -\frac{1}{s}e^{-st} \right|_0^{\infty}$$

$$L[u(t)] = \frac{1}{s}$$



The Laplace Transform of a unit step is:

$$\frac{1}{s}$$

More Examples: [https://math.libretexts.org/Bookshelves/Differential\\_Equations/Book%3A\\_Elementary\\_Differential\\_Equations\\_with\\_Boundary\\_Value\\_Problems\\_\(Trench\)/08%3A\\_Laplace\\_Transforms/8.04%3A\\_The\\_Unit\\_Step\\_Function](https://math.libretexts.org/Bookshelves/Differential_Equations/Book%3A_Elementary_Differential_Equations_with_Boundary_Value_Problems_(Trench)/08%3A_Laplace_Transforms/8.04%3A_The_Unit_Step_Function)

# Z-Transform

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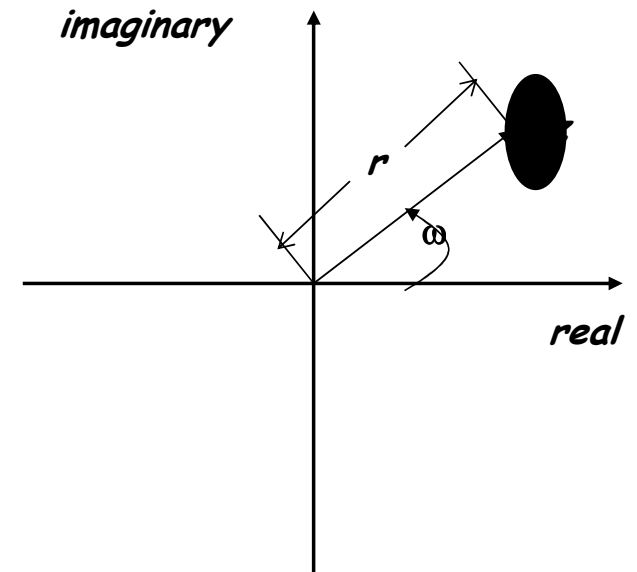
- Discrete-time signals

- Definition:

$$X(z) = \sum_{n=-\infty}^{\infty} x(n)z^{-n}$$

— $z$  is a complex variable:

$$\begin{aligned} z &= re^{j\omega} \\ &= r \cos \omega + jr \sin \omega \end{aligned}$$



# Z-transform

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- What is  $z^{-n}$  or  $z^n$ ?

$$\begin{aligned} z^{-n} &= r^{-n} e^{-j\omega n} \\ &= \underbrace{r^{-n} \cos \omega n}_{\text{real part}} - \underbrace{j r^{-n} \sin \omega n}_{\text{imaginary part}} \end{aligned}$$

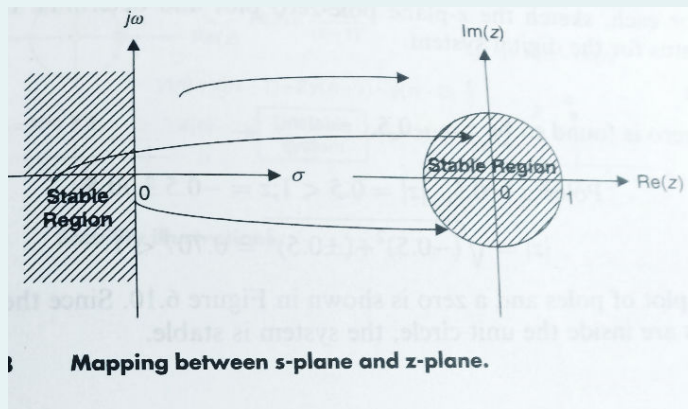
$$\begin{aligned} z^n &= r^n e^{j\omega n} \\ &= \underbrace{r^n \cos \omega n}_{\text{real part}} + \underbrace{j r^n \sin \omega n}_{\text{imaginary part}} \end{aligned}$$

- rate of decay (or growth) is determined by  $r$
- frequency of oscillation is determined by  $\omega$

## Laplace Transform

Applicable for continuous time system analysis

- Used to solve differential equation.
- Stability of the system can be determined by the location of poles in the s-plane. (A system is stable if all poles lie to the left of s-plane).

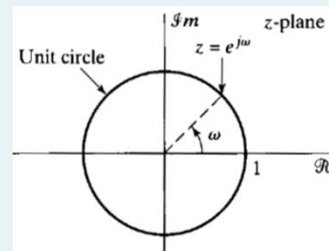


FT exists in the stable region.  
Can be defined for both stable and unstable systems.

## Z – Transform

For describing and analyzing digital systems

- Used to solve difference equations
- Pole-zero plot provides a graphical tool to investigate the characteristics of a digital system (eg. Digital filter).



Location of poles in Z-plane determines the stability of a system (stable if poles are inside the unit circle amplitude).

- Used to analyze the transient and steady state responses of a LTI system.
- Can be defined for both stable and unstable systems.

## Fourier Transform

Gives a relationship between time domain and frequency domain representation of a signal. Few application areas are:

- Used for amplitude and power spectrum analysis
- Used for signal frequency analysis
- Used for signal processing, digital filter design.
- Can be defined only for stable system

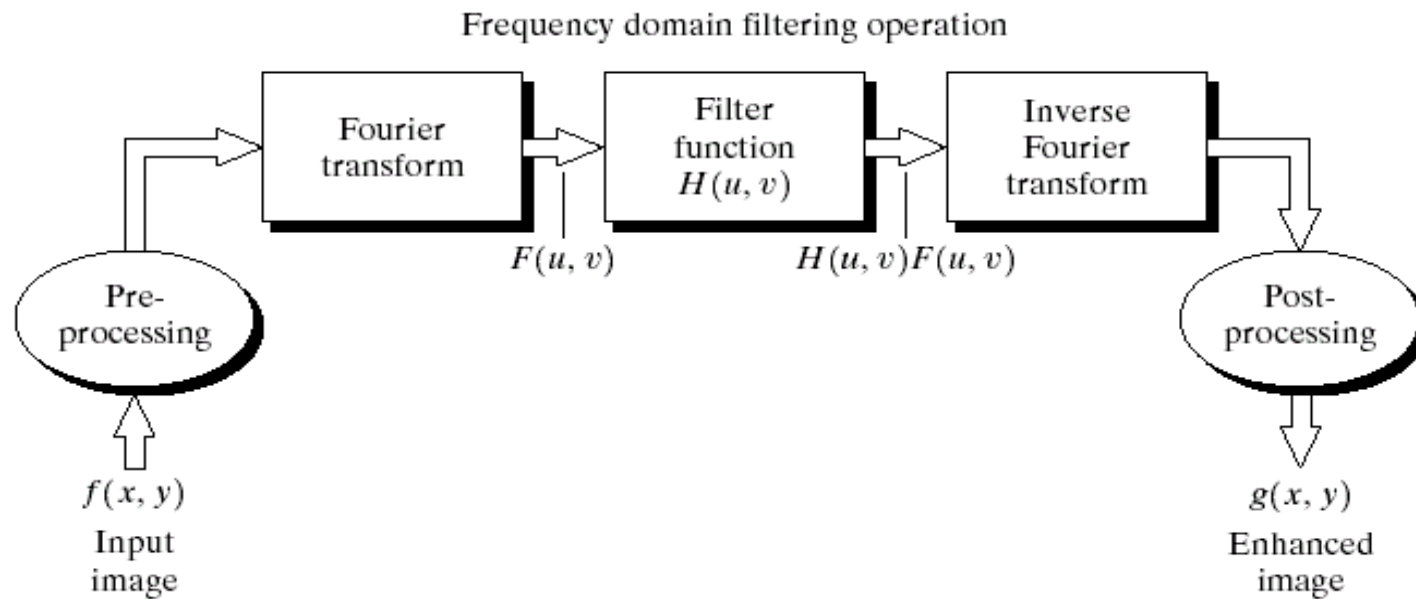
Laplace Transform (LT)	Z – Transform	Fourier Transform (FT)
Represents continuous time domain signal in s-domain. Used to investigate the properties of a continuous time linear system.	Counter part of Laplace transform for discrete time signals. Used for analysis of discrete time signals.	Provides frequency domain representation of a time domain signal. Both Laplace and Z transforms have similarity relations with FT.
<p>2 sided Laplace transform:</p> $X(s) = \int_{t=-\infty}^{\infty} x(t)e^{-st} dt$ <p>Inverse LT:</p> $x(t) = \left(-\frac{j}{2\pi}\right) \int_{\sigma-j\omega}^{\sigma+j\omega} X(s)e^{st} d\omega$	$X(Z) = \sum_{n=-\infty}^{\infty} x(n)Z^{-n}$ <p>Z transform exists only for those values of z for which the series converges. This is represented by ROC.</p> <p>Inverse Z transform:</p> $x(n) = (1/2\pi j) \oint X(z)z^{n-1} dz$	<p>For continuous time signals,</p> $X(\omega) = \int_{t=-\infty}^{\infty} x(t)e^{-j\omega t} dt$ <p>Its inverse FT is</p> $x(t) = \left(\frac{1}{2\pi}\right) \int_{\omega=-\pi}^{\pi} X(\omega)e^{j\omega t} d\omega$ <p>(<math>\omega</math> is frequency in radians/s and <math>x(t)</math> is a time domain signal)</p> <p>For Discrete signals,</p> <p>Analysis equations is <math>X(k) = \sum_{n=0}^{N-1} x(n)e^{-j2\pi kn}</math></p> <p>Synthesis equation is</p> $x(n) = (1/N) \sum_{k=0}^{N-1} X(k)e^{j2\pi kn}$ <p>(N is the number of samples in the period)</p>
s = $\sigma + j\omega$ ; If s in Laplace transform is only imaginary ( $\sigma=0$ ), LT and FT are both same.	Z = $re^{j\Omega}$ . If r = 1, Z transform is same a discrete FT.	Cannot manage unstable systems. For FT to exists, the signal should be absolutely summable. FT is a special case of LT (s=jw) and Z transform (r=1).

# The DFT and Image Processing

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To filter an image in the frequency domain:

1. Compute  $F(u, v)$  the DFT of the image
2. Multiply  $F(u, v)$  by a filter function  $H(u, v)$
3. Compute the inverse DFT of the result

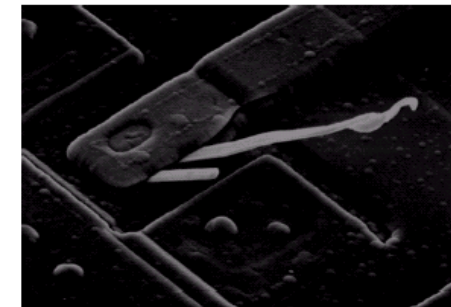
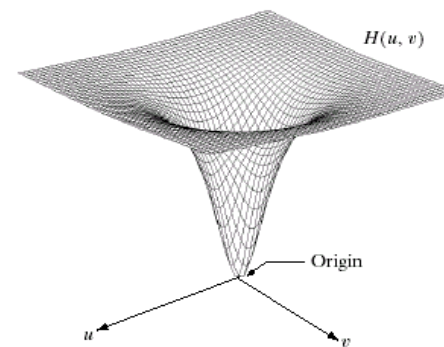
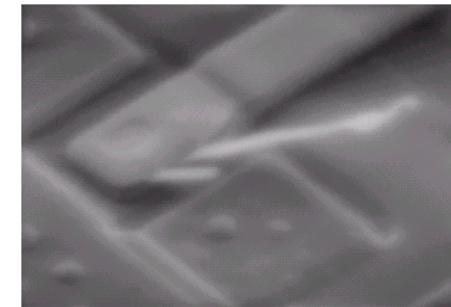
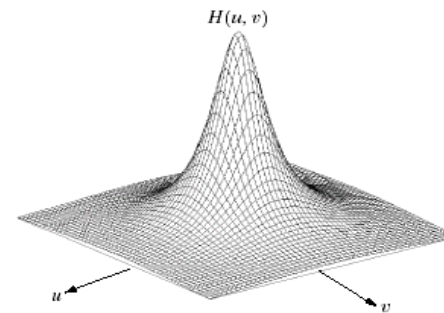
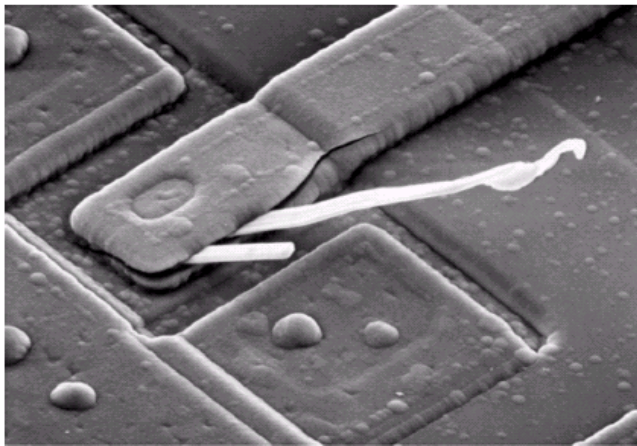




# Some Basic Frequency Domain Filters

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Low Pass Filter



High Pass Filter

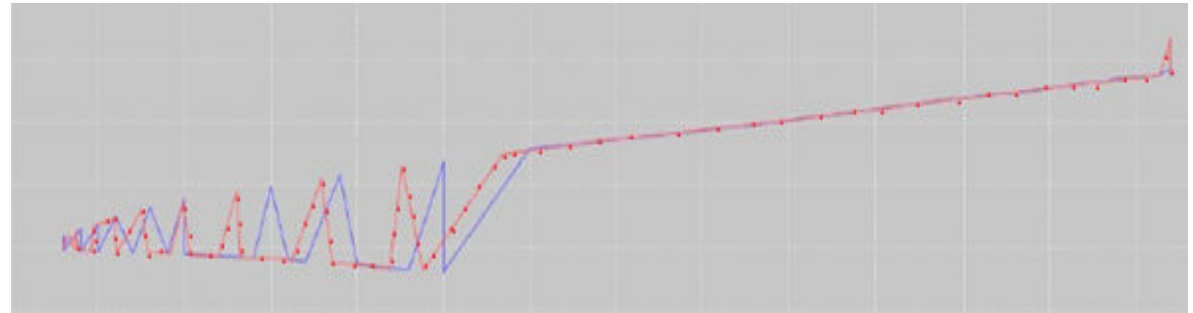
# Filtering

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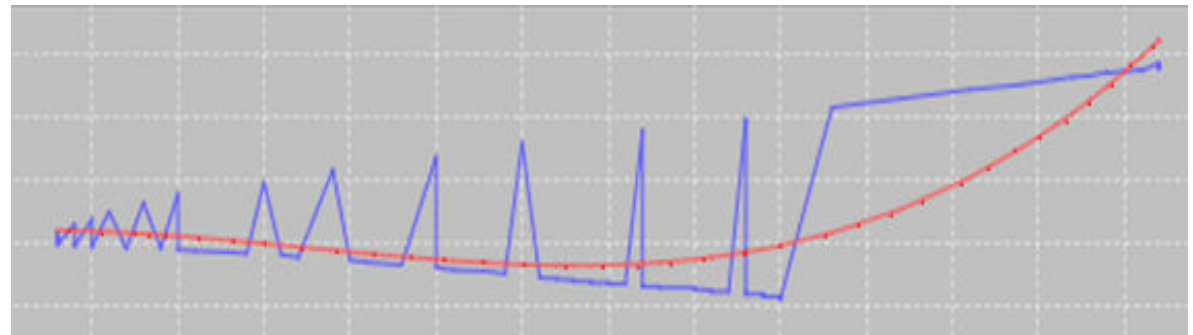
- Outlier / sudden change detection
- Smoothing
- Enhancement / Noise Cancellation
- Special purpose – edge detection

# Filtering Example – Cursor Trace Smoothing

- Moving cursor in a vibrating place or by people with motor impairment create jitter in movement
- Filtering can remove jitter to facilitate pointing in a GUI



Kalman Filter

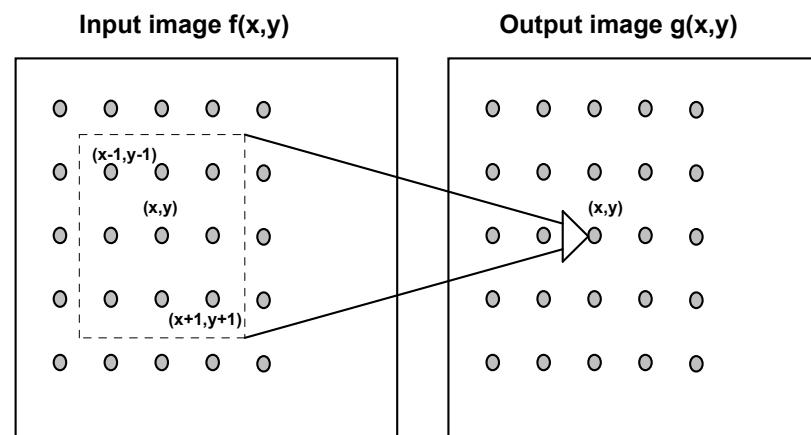


Polynomial Filter

# Image Filtering

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- The output  $g(x,y)$  can be a linear or non-linear function of the set of input pixel grey levels  $\{f(x-M,y-M) \dots f(x+M,y+M)\}$ .

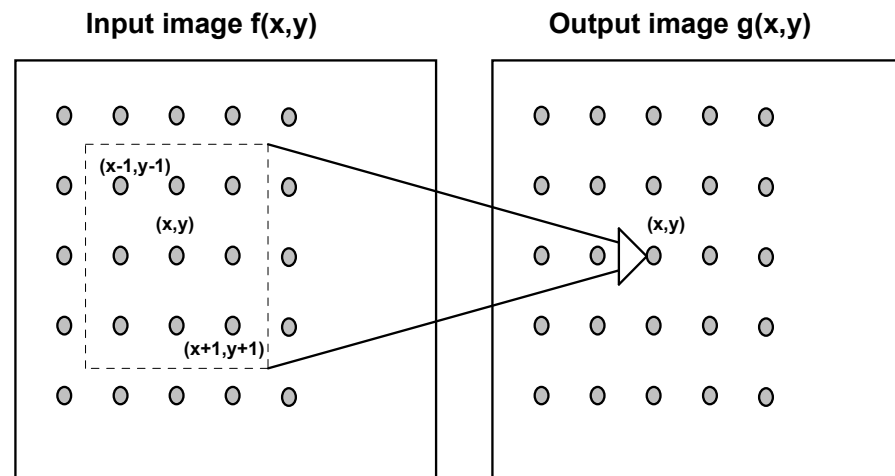


# Linear filtering and convolution

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- Example

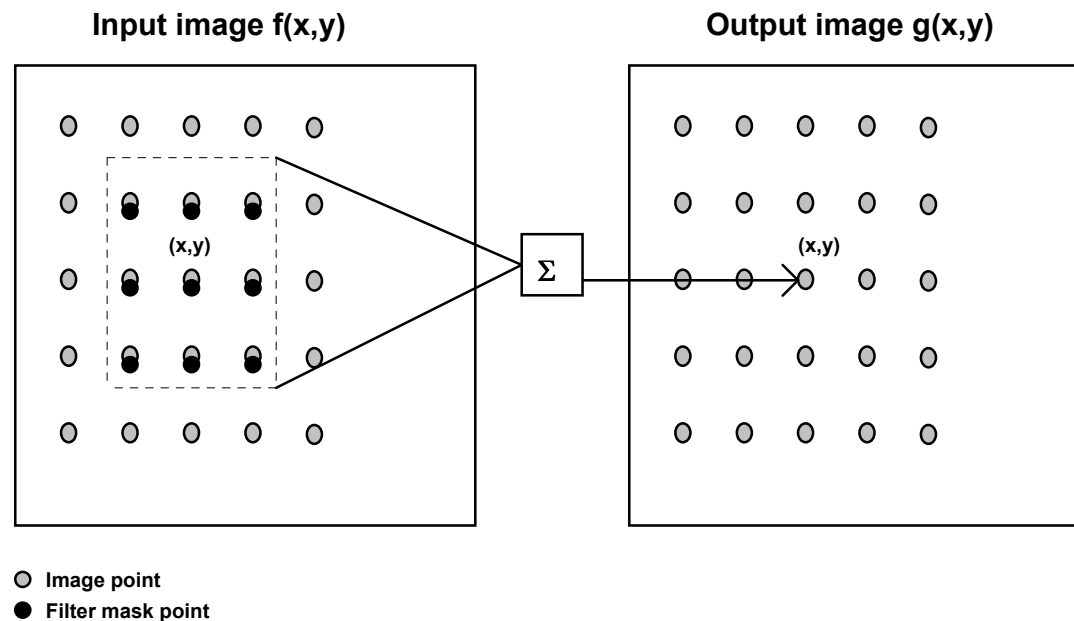
- 3x3 arithmetic mean of an input image (ignoring floating point byte rounding)
- Simple arithmetic averaging
- Useful for smoothing images corrupted by additive broad band noise



# Averaging Filter

- Convolution involves ‘overlap – multiply – add’ with ‘convolution mask’

$$H = \begin{pmatrix} \frac{1}{9} & \frac{1}{9} & \frac{1}{9} \\ \frac{1}{9} & \frac{1}{9} & \frac{1}{9} \\ \frac{1}{9} & \frac{1}{9} & \frac{1}{9} \end{pmatrix}$$



# Linear filtering and convolution

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- We can define the convolution operator mathematically
  - Defines a 2D convolution of an image  $f(x,y)$  with a filter  $h(x,y)$

$$\begin{aligned} g(x,y) &= \sum_{x'=-1}^1 \sum_{y'=-1}^1 h(x',y') f(x-x',y-y') \\ &= \frac{1}{9} \sum_{x'=-1}^1 \sum_{y'=-1}^1 f(x-x',y-y') \end{aligned}$$

# Gaussian filter

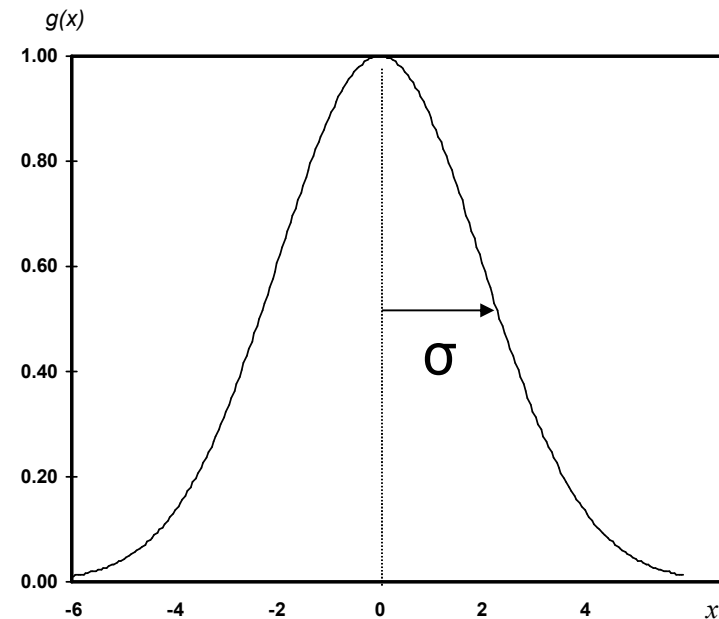
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- Example – convolution with a *Gaussian* filter kernel
  - $\sigma$  determines the width of the filter and hence the amount of smoothing

$$g(x, y) = \exp\left(-\frac{(x^2 + y^2)}{2\sigma^2}\right)$$

$$= g(x)g(y)$$

$$g(x) = \exp\left(-\frac{x^2}{2\sigma^2}\right)$$





# Example Images

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Original



Noisy



Filtered  
 $\sigma=1.5$



Filtered  
 $\sigma=3.0$



# Edge Detection

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- Edge detection filter
  - Simple differencing filter used for enhancing edged
  - Has a bandpass frequency response

$$H = \begin{pmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{pmatrix}$$

# The Sobel Operators

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- Better approximations of the gradients exist
  - The *Sobel* operators below are commonly used

$$\frac{1}{8} \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}$$

$s_x$

$$\frac{1}{8} \begin{bmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \\ -1 & -2 & -1 \end{bmatrix}$$

$s_y$

# Comparing Edge Operators

Gradient:  $\nabla f = \left[ \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y} \right]$

Roberts (2 x 2):

0	1
-1	0

1	0
0	-1

Sobel (3 x 3):

-1	0	1
-1	0	1
-1	0	1

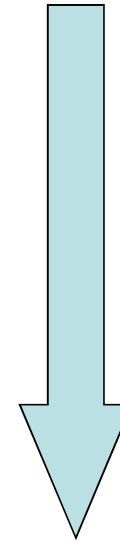
1	1	1
0	0	0
-1	-1	1

Sobel (5 x 5):

-1	-2	0	2	1
-2	-3	0	3	2
-3	-5	0	5	3
-2	-3	0	3	2
-1	-2	0	2	1

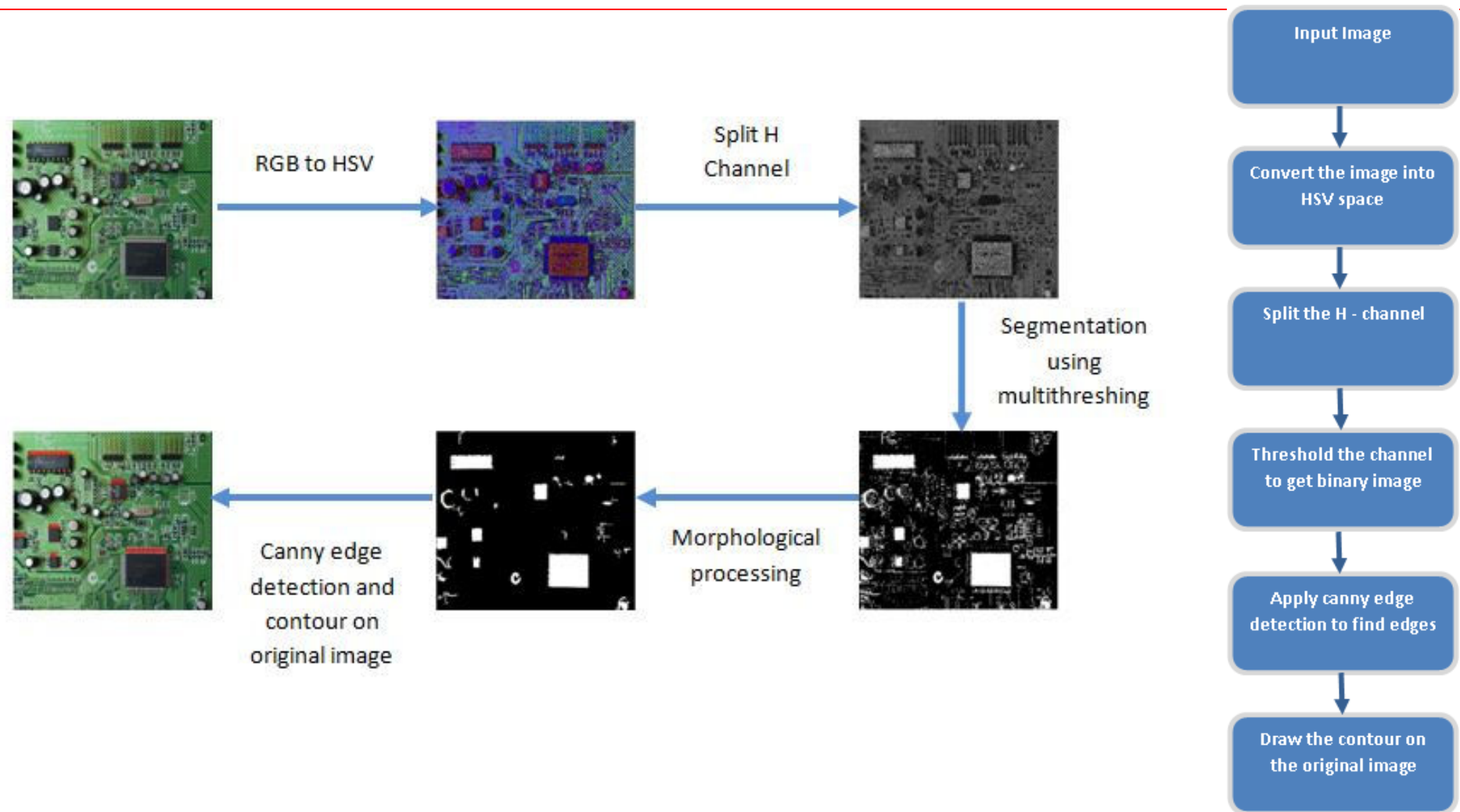
1	2	3	2	1
2	3	5	3	2
0	0	0	0	0
-2	-3	-5	-3	-2
-1	-2	-3	-2	-1

Good Localization  
Noise Sensitive  
Poor Detection



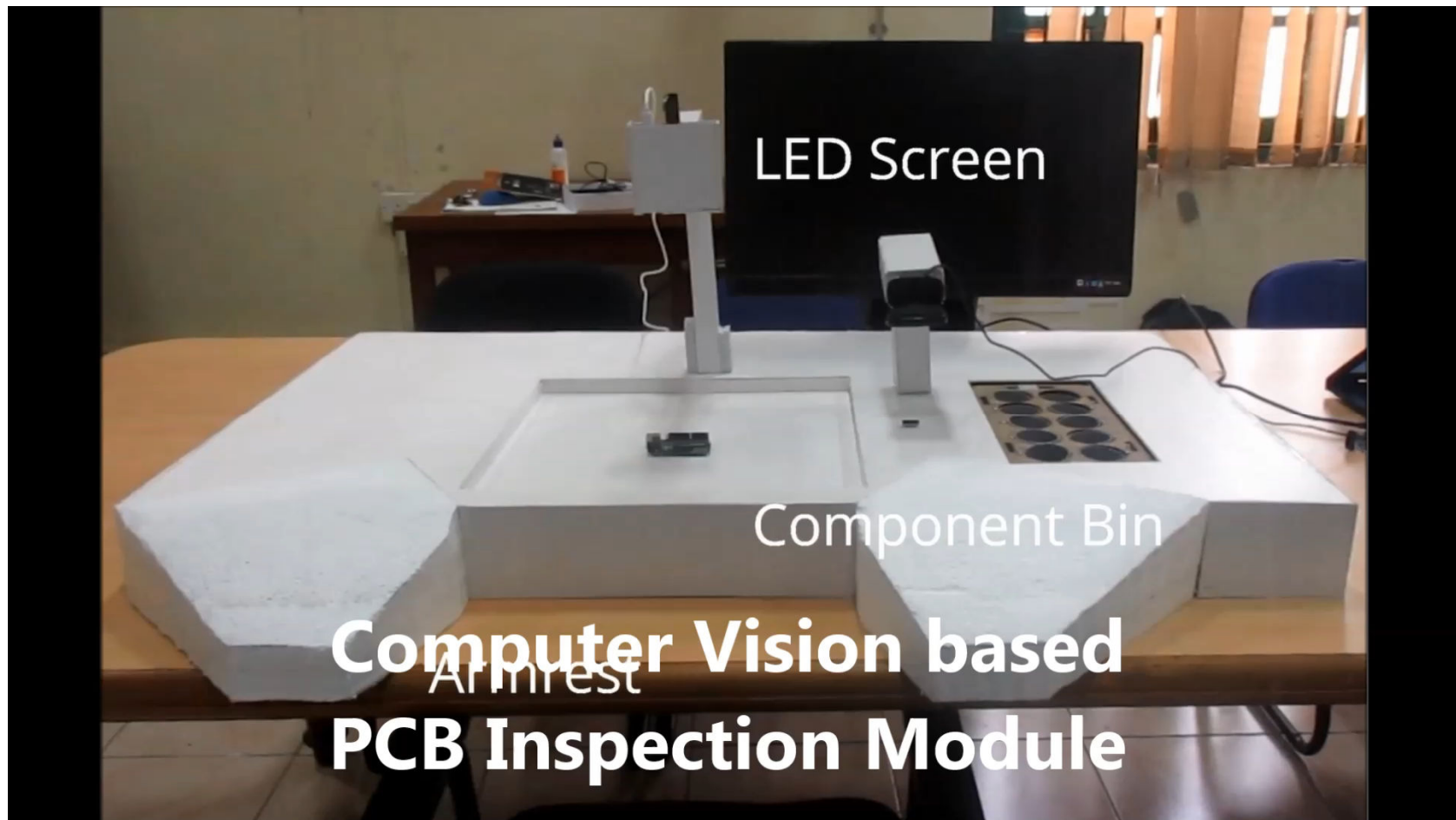
Poor Localization  
Less Noise Sensitive  
Good Detection

# Case Study - PCB Inspection



# Video Demonstration – PCB Inspection

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# Take Away Points

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- Representing data as a signal
- Doing elementary signal manipulation
- Time and frequency domain conversions
- Filtering, Smoothing and Masking operations